State of Rhode Island and Providence Plantations

2013 Form T-71A

Surplus Line Broker Return of Gross Premiums

13111799990101

Name	Federal employer identification number/social security number
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	99-9999999
Address	**State or country of incorporation or organization**
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXX
Address 2	**Broker license number**
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	9999999999999

City, town or post office	State	ZIP code	E-mail address
XXXXXXXXXXXXXXXXXXXXXXXXX	XX	99999	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

Computation of Tax

	1	Gross premium charged	1	9,999,999 99		
	2	Returned Premiums	2	9,999,999 99		
	3	Net Taxable Premium. Subtract line 2 from line 1			3	9,999,999 99
Tax and Credits	4	SURPLUS LINE BROKER TAX. Rate: 4%. Multiply line 3 by the tax rate of 4% (0.04)			4	9,999,999 99
	5	Payments made on 2013 declaration of estimated tax	5	9,999,999 99		
Balance Due	6	Net Tax Due. Subtract line 5 from line 4			6	9,999,999 99
	7	Interest Due: (a) Late payment (b) Underestimating			7	9,999,999 99
	8	Total Due with Return. Add lines 6 and 7			8	9,999,999 99
Refund	9	Overpayment. Subtract lines 4 and 7 from line 5			9	9,999,999 99
	10	Amount of overpayment to be applied to 2014 estimated tax			10	9,999,999 99
	11	Amount to be refunded. Subtract line 10 from line 9			11	9,999,999 99

INSURANCE AGENCIES:
ENTER THE FEDERAL IDENTIFICATION NUMBER OF THE AGENCY ONLY IN THE SPACE PROVIDED ABOVE. DO NOT ENTER A BROKER'S SOCIAL SECURITY NUMBER. YOU MUST COMPLETE PAGE 2, LIST OF BROKERS, IN ORDER FOR THE INDIVIDUAL BROKER LICENSES TO BE RENEWED. IF PAGE 2 IS NOT COMPLETED, LICENSE RENEWALS MAY BE DELAYED.

INDIVIDUALS:
YOU MUST ENTER YOUR SOCIAL SECURITY NUMBER IN THE SPACE PROVIDED ABOVE. DO NOT ENTER THE INSURANCE AGENCY'S FEDERAL IDENTIFICATION NUMBER. SKIP PAGE 2, AND GO DIRECTLY TO PAGE 3.

Line 1: Gross Premium Charged - From the Return Supplement on page 3, add the Premium Column Total to the Additional Premium Column Total.

Line 2: Amount of Returned Premiums - From the Return Supplement on page 3, enter the amount from Return Premium Column Total.

Line 3: Net Taxable Premium. Subtract line 2 from line 1.

Line 4: Surplus Line Broker Tax. Multiply line 3 times rate of 4% (0.04).

Line 5: Enter the amount of estimated tax paid for tax year 2013, plus any amounts applied from tax year 2012.

Line 6: Net Tax Due. Subtract line 5 from line 4.

Line 7: Interest Due:
(a) Late payment interest: 18% per annum, 1.5% per month.
(b) Underestimating interest - see Regulation CT12-10.
Enter the sum of lines (a) and (b) on line 7.

Line 8: Total Due with Return. Add lines 6 and 7.

Line 9: Overpayment. Subtract lines 4 and 7 from line 5.

Line 10: Enter the amount from line 9 to be applied to 2014 Estimated Tax.

Line 11: Subtract line 10 from line 9. This is the amount to be refunded.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, it is true, accurate and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Authorized officer signature	Print name	Date	Telephone number
	XXXXXXXXXXXXXXXX	MM/DD/YYYY	(999)999-9999

Paid preparer signature	Print name	Date	Telephone number
	XXXXXXXXXXXXXXXXX	MM/DD/YYYY	(999)999-9999

Paid preparer address	City, town or post office	State	ZIP code	PTIN
XXXXXXXXXXXXXX	XXXXXXXXXXXX	XX	99999	999999999999999

May the Division of Taxation contact your preparer? YES ☒

Revised 08/2013 Key # 13

State of Rhode Island and Providence Plantations

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Surplus Line Broker Return of Gross Premiums

13111799990102

Name	Federal employer identification number
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	99-9999999

This page must be completed by agencies/companies with individual licensees covered under this return to prevent a delay in renewing the licenses of those individuals.

Broker SSN	Broker Name	Broker Address	Broker License Number
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	9999999
999-99-9999	XXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	99999999
999-99-9999	XXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXX	99999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	9999999999999
999-99-9999	XXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	999999999999
999-99-9999	XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	999999999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	999999999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	999999999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	9999999999999
999-99-9999	XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	9999999999999
999-99-9999	XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXX	99999999999
999-99-9999	XXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXX	9999999999999

SSN/FEIN: 999-99-9999

Signature of broker:

Licensee: XXXXXXXXXXXXXXXXXXXXXXXX

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13111799990103

Name	Federal employer identification number/social security number
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	99-9999999

For policies invoiced from January 1, 2013 through December 31, 2013

NAIC#	Carrier Name	Company carrying the risk, not the Wholesale Broker	Name of Insured	Risk Location	Invoice Date	Premium	Return Premium	Additional Premium

Premium totals - - - >

SSN/FEIN: 999-99-9999

Signature of broker:

Licensee: XXXXXXXXXXXXXXXXXX